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1903 - 1995

Model of Computation
based on λ -calculus

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FP for DB

λ -calculus 1



Represent numbers by symbols - digits → Manipulate symbols → Interpret symbols

twenty three plus eighteen $+(23, 18)$	$\begin{array}{r} 23 \\ + 18 \\ \hline 31 \\ + 10 \\ \hline 41 \end{array}$	forty one
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reason
manipulation of symbols can be mechanized - it does not require thinking

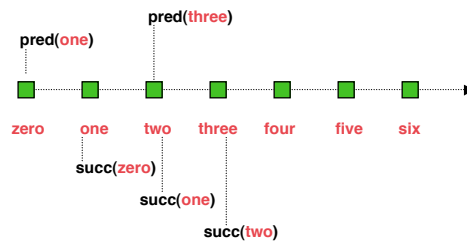
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λ -calculus 2

NN - natural numbers [0, 1, 2, ...)

1. Zero recognition
2. Every has one and only one successor
3. Every except **zero** has one and only one predecessor



$$+ (x, y) ::= x \mid_{y=0}, +(\text{succ}(x), \text{pred}(y))$$

+	5	7
	6	6
	7	5
	8	4
	9	3
	10	2
	11	1
	12	0

$$- (x, y) ::= x \mid_{y=0}, -(\text{pred}(x), \text{pred}(y))$$

-	5	2
	4	1
	3	0

$$* (x, y) ::= 0 \mid_{y=0}, + (x, * (x, \text{pred}(y)))$$

$$< (x, y) \text{ iff } \exists k \in \text{NN}: + (x, k) = y$$

$< (3, 5)$ since $2 \in \text{NN}$ and $+ (3, 2) = 5$

NN - representation & interpretation

SYNTAX

numeral ::= digit | numeral digit
 digit ::= { 0, 1, 2, 3, ..., r-1 }

SEMANTICS

0 → zero
 1 → one
 2 → two
 ...

single digit numerals

... $d_3 d_2 d_1 d_0$
 $d_0 * r^0$
 + $d_1 * r^1$
 + $d_2 * r^2$
 + $d_3 * r^3$
 :

multi digit numerals

the meaning of the composite numeral is inferred from the meaning of its constituent parts

meaning is a function that maps a string of d's into a unique number

OPERATIONS

+ 4 2
 5 1
 6 0

Great, but what about + 13137 29251 ?

Answer Part 1: automate

$$12137 = 1 * 10^4 + 2 * 10^3 + 1 * 10^2 + 3 * 10^1 + 7 * 10^0$$

$$29251 = 2 * 10^4 + 9 * 10^3 + 2 * 10^2 + 5 * 10^1 + 1 * 10^0$$

since $(a + b) + (x + y) = (a + x) + (b + y)$ and $(ax + bx = (a + b)x$

1	3	1	3	7	
2	9	2	5	1	
3	2	3	8	8	<i>sum</i>
1	0	0	0	0	<i>carry</i>
4	2	3	8	8	

Answer Part 2: automate further

32	16	8	4	2	1	
1	0	1	1	1	1	23
0	1	0	1	1	1	11
1	1	1	0	0	0	<i>sum</i>
0	0	1	1	1	0	<i>carry</i>
1	1	0	1	0	0	
0	0	1	0	0	0	
0	1	0	0	1	0	
0	1	0	0	0	0	
0	0	0	0	1	0	
1	0	0	0	0	0	
1	0	0	0	1	0	34
0	0	0	0	0	0	
32	16	8	4	2	1	

Answer Part 3: automate further still

	y			
		0	1	
x				
0		0	1	<i>sum</i>
		0	0	<i>carry</i>
1		1	0	<i>sum</i>
		0	1	<i>carry</i>

<i>sum</i>	0	1	<i>carry</i>	0	1
0	0	1	0	0	0
1	1	0	1	0	1
	↑	↑		↑	↑
	x_or			and	

1	0	1	1	1	a
0	1	0	1	1	b
1	1	1	0	0	$a := a_or\ b$
0	0	1	1	1	$b := l_shift\ (a\ and\ b)$
1	1	0	1	0	:
0	0	1	0	0	.
0	1	0	0	1	0
0	1	0	0	0	0
0	0	0	0	1	0
1	0	0	0	0	0
1	0	0	0	1	0
0	0	0	0	0	0

Integers

on Cartesian Product $\mathbb{N} \times \mathbb{N} = \{(m, n) : m, n \in \mathbb{N}\}$

define a relation $\approx (m_1, n_1) \approx (m_2, n_2)$ iff $(m_1 + n_2) = (m_2 + n_1)$

\approx is relation of equivalence since it is reflexive, symmetric and transitive

Reflexive $(m, n) \approx (n, m)$ since $m + n = n + m$

Symmetric

if $(m_1, n_1) \approx (m_2, n_2)$ then $m_1 + n_2 = m_2 + n_1$ *by definition*

and $m_2 + n_1 = m_1 + n_2$

hence $(m_2, n_2) \approx (m_1, n_1)$

Transitive

suppose we have $(m_1, n_1) \approx (m_2, n_2)$ and $(m_2, n_2) \approx (m_3, n_3)$
by definition

$$m_1 + n_2 = m_2 + n_1$$

$$m_2 + n_3 = m_3 + n_2$$

adding sides

$$m_1 + \cancel{n_2} + \cancel{m_2} + n_3 = \cancel{m_2} + n_1 + m_3 + \cancel{n_2}$$

hence $m_1 + n_3 = n_1 + m_3$

and so $(m_1, n_1) \approx (m_3, n_3)$

relation of equivalence in a non-empty set X divides this set into disjoint, non-empty subsets (classes of equivalence) in the following way:

two elements $x, y \in X$ belong to the same class iff $x \approx y$
 $|x| = \{y \in X : x \approx y\}$

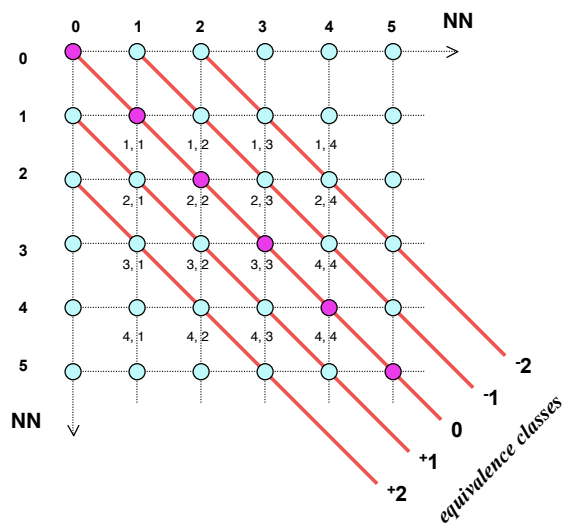
integer may thus be defined as an equivalence class:

$$|(m, n)| = \{(p, q) \in (\mathbb{NN} \times \mathbb{NN}) : (m, n) \approx (p, q)\}$$

$$|(1, 1)| = \{(0, 0), (1, 1), (2, 2) \dots\} \quad \text{integer zero}$$

$$|(1, 0)| = \{(1, 0), (2, 1), (3, 2) \dots\} \quad \text{integer } +1$$

$$|(0, 1)| = \{(0, 1), (1, 2), (2, 3) \dots\} \quad \text{integer } -1$$



Integer addition

$$|(m_1, n_1)| \oplus |(m_2, n_2)| = |(m_1 + m_2, n_1 + n_2)|$$

Integer multiplication

$$|(m_1, n_1)| \otimes |(m_2, n_2)| = |(m_1 \times m_2 + n_1 \times n_2), (m_1 \times n_2 + n_1 \times m_2)|$$

NN-arithmetic is isomorphic to **IN-arithmetics**

Rational numbers can be defined similarly where

$$\text{relation } \approx (p_1, q_1) \approx (p_2, q_2) \text{ iff } (p_1 \otimes q_2) = (p_2 \otimes q_1)$$

$p, q \in \mathbf{IN}$

It took us around 7000 years

We can

- represent
- reason about
- process

the **numbers** through **numerals** i.e. in detachment from their meaning

Can we do the same with even more abstract symbols ?

Suppose we need to evaluate the expression

$(7 + x) * (8 + 5 * x)$ for $x = 4$

→ $(7 + 4) * (8 + 5 * 4)$
→ $(7 + 4) * (8 + 20)$
→ $(7 + 4) * 28$
→ $11 * 28$
→ 308

→ $(7 + 4) * (8 + 5 * 4)$
→ $11 * (8 + 5 * 4)$
→ $11 * (8 + 20)$
→ $11 * 28$
→ 308

Church-Rosser property - the order of evaluations is immaterial

evaluation may be applied to non-numerical symbols

first (sort (append (BANANA, LEMMON) (sort (GRAPE, APPLE, KIWI))))

first (sort (append (BANANA, LEMMON) (APPLE, GRAPE, KIWI)))

first (sort (BANANA, LEMMON, APPLE, GRAPE, KIWI))

first (APPLE, BANANA, GRAPE, LEMMON, KIWI)

APPLE

Do variable names matter?

$$\int x \, dx \longleftrightarrow \int y \, dy$$

$$\text{not } (A \text{ or } B) = (\text{not } A) \text{ and } (\text{not } B) \longleftrightarrow \text{not } (X \text{ or } Y) = (\text{not } X) \text{ and } (\text{not } Y)$$

but

$$\int x \sin y \, dx \not\longleftrightarrow \int y \sin y \, dy$$

What are the rules for?

$$\begin{aligned} & a(b + c)^2 - (ab^2 + ac^2 + abc) \\ \rightarrow & a(b^2 + 2bc + c^2) - (ab^2 + ac^2 + abc) \\ \rightarrow & (ab^2 + 2abc + ac^2) - (ab^2 + ac^2 + abc) \\ \rightarrow & ab^2 + 2abc + ac^2 - ab^2 - ac^2 - abc \\ \rightarrow & 2abc - abc \\ \rightarrow & abc \end{aligned}$$

complex expression

simple(r) expression

$f(x) = x + 5$ $+$ (x, 5) or **plus** (x, 5)
the meaning
(function abstraction)

lambda
expression → **$f = \lambda x. x + 5$**

$\lambda x. \text{salt-cover } (x)$ $\xrightarrow{\text{peanuts}}$ **cover-with salt** $\xrightarrow{\text{salted peanuts}}$

$\lambda x. \text{salt-cover } (x)$ (peanuts) → **salt-cover_peanuts**

$\lambda x. \text{salt-cover } (x)$ (meat) → **salt-cover_meat**

$\lambda x. \text{salt-cover } (x)$ (banana) → **salt-cover_banana**

$\lambda y. (\lambda x. y\text{-cover } (x))$ (sugar) → $\lambda x. \text{sugar-cover } (x)$

$\lambda z. (\lambda y. (\lambda x. y\text{-z } (x)))$ (cover) → $\lambda y. (\lambda x. y\text{-cover } (x))$

$\lambda z. (\lambda y. (\lambda x. y\text{-z } (x)))$ (free) → $\lambda y. (\lambda x. y\text{-free } (x))$

currying

functions of **n** arguments can be represented by **n**-fold iteration of application

instead of applying the function to two arguments	$f(X, Y)$	plus (3, 5)
apply it to the first argument and then apply the result to the second argument	$(f(X))Y$	$((plus3) 5)$

more formally $(\lambda.(xy) F = \lambda x. \lambda y. F$

λ -expression ::= constant
 | variable
 | $\langle \lambda$ -expression $\rangle \langle \lambda$ -expression \rangle application
 | λ -expression . $\langle \lambda$ -expression \rangle abstraction
 | (λ -expression)

Notation	
complex λ -expression	M, N, P, Q, ...
variables	x, y, z, ...
constants	300000
application	+5, add (5) + 2 3 = ((+2) 3)
MNPQ means	((MN)P) (association to the left)
built-in functions	e.g. add , neither constants nor λ -functions, defined for convenience, can be evaluated
abstraction	$\lambda x. + 1 x$ $(\lambda x. (\lambda y. * 5 y) (+ x 3))12$

$\lambda x. x y$
 body
 free variable — must know its value (from outside)
 bound variable — argument of the function
 variable declaration

$+x (\lambda x. + x 3) 4$
 free bound

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$(\lambda x. x y (\lambda y. y))$
 $(\lambda x. \lambda y. z ((\lambda z. z (\lambda x. y)))$ free variables
 $(\lambda x. \lambda y. x z (y z)) (\lambda x. y (\lambda y. y))$

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MANIPULATING EXPRESSIONS

$$\lambda x. + x 1 \rightarrow_{\alpha} \lambda y. + y 1$$

variable names are arbitrary

$$\lambda x. (\lambda y. yx) \not\rightarrow_{\alpha} \lambda x. (\lambda x. xx)$$

but

$$\lambda x. (\lambda y. yx) \rightarrow_{\alpha} \lambda x. (\lambda z. zx)$$

E

α -conversion rule

$$\lambda x. E \rightarrow_{\alpha} \lambda z. [z \leftarrow x] E$$

replace any bound x by z in E
provided that z doesn't occur in E

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- $$\lambda y. x \not\rightarrow_{\alpha} \lambda y. y \quad \text{x is free in } \dots$$

- $$\lambda x. (\lambda y. + x y) \not\rightarrow_{\alpha} \lambda x. (\lambda x. + xx) \quad \text{x is free in } \dots$$

- $$\lambda x. f x \not\rightarrow_{\alpha} \lambda x. g y \quad \text{f is free in } \dots$$

- $$\lambda x. (\lambda y. x y) \rightarrow_{\alpha} \lambda f. (\lambda y. f y)$$

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- $(\lambda x. + x 5) 4 \rightarrow_{\beta} + 4 5$
- $(\lambda x. * x x) 5 \rightarrow_{\beta} * 5 5$
- $(\lambda x. 16) y \rightarrow_{\beta} 16$ whatever \rightarrow [] \rightarrow 16
- $(\lambda x. (\lambda y. * x y)) 4 5 \rightarrow_{\beta} (\lambda y. * 4 y) 5 \rightarrow_{\beta} * 4 5$
- $(\lambda a. a 2) (\lambda b. + b 1) \rightarrow_{\beta} (\lambda b. + b 1) 2 \rightarrow_{\beta} + 2 1$

β -conversion rule

$$(\lambda x. P) Q \rightarrow_{\beta} [x \leftarrow Q] P$$

provided that bound variables of P are distinct from free variables of Q

all (free in P) x's in P get replaced by Q

- $(\lambda x. (\lambda y. x y)) y \rightarrow_{\beta} \lambda y. y y$?! WRONG
- bound
free

$$\begin{aligned}
 (\lambda x. (\lambda y. x y)) y &\rightarrow_{\alpha} (\lambda x. (\lambda z. x z)) y \\
 &\rightarrow_{\beta} (\lambda z. y z) \\
 &\rightarrow_{\alpha} \lambda x. yx
 \end{aligned}$$

- $\lambda x. (\lambda y. \text{div } x \ y) \ 6 \ 3$
 $\rightarrow_{\beta} (\lambda y. \text{div } 6 \ y) \ 3$
 $\rightarrow_{\beta} \text{div } 6 \ 3$
 $\rightarrow_{\delta} 2$

δ -conversion rule
 evaluation of the built-in functions

- $\lambda x. \lambda y. + \ x \ ((\lambda x. - \ x \ 4) \ y) \ 5 \ 6$
 $\rightarrow_{\beta} \lambda x. \lambda y. + \ x \ (- \ y \ 4) \ 5 \ 6$
 $\rightarrow_{\beta} \lambda x. + \ x \ (- \ 5 \ 4) \ 6$
 $\rightarrow_{\beta} + \ 6 \ (- \ 5 \ 4)$
 $\xrightarrow{*}_{\delta} + \ 7$

multiple application of δ -conversion

- $(\lambda x. + \ 5 \ x) \ 4 \rightarrow_{\beta} + \ 5 \ 4 \rightarrow_{\delta} 9$

$$(\lambda x. \boxed{+ \ 5} \ x) \rightarrow_{\eta} + \ 5$$

$$(\lambda x. \boxed{F \ x}) \rightarrow_{\eta} \boxed{F}$$

η -conversion rule
 provided x does not occur free in F

λ-expression that contains no reducible sub-expression is said to be in normal form

- not every expression has a normal form, for instance
 $(\lambda x. x x) (\lambda x. x x) \rightarrow (\lambda x. x x) (\lambda x. x x) \rightarrow (\lambda x. x x) (\lambda x. x x) \rightarrow \dots$

- some reduction orders are more efficient than others:

(1) $(\lambda x. 1) (\lambda x. x x) (\lambda x. x x)$
 $(\lambda x. 1) (\text{whatever}) \rightarrow 1$ whatever \rightarrow [] $\rightarrow 1$

but

(2) $(\lambda x. 1)(\lambda x. x x) (\lambda x. x x) \rightarrow (\lambda x. 1)(\lambda x. x x) (\lambda x. x x) \rightarrow \dots$

NORMAL ORDER

$$\begin{aligned} & (\lambda y. (\lambda x. (\lambda z. (+ z x) 4) y) 5) \\ & \rightarrow (\lambda x. (\lambda z. (+ z x) 4) 5) \\ & \rightarrow (\lambda z. (+ z 5) 4) \\ & \rightarrow (+ 4 5) \\ & \rightarrow 9 \end{aligned}$$

APPLICATIVE ORDER

$$\begin{aligned} & \lambda y. (\lambda x. (\lambda z. (+ z x) 4) y) 5 \\ & \rightarrow \lambda y. (\lambda x. (+ 4 x) y) 5 \\ & \rightarrow \lambda y. (+ 4 y) 5 \\ & \rightarrow (+ 4 5) \\ & \rightarrow 9 \end{aligned}$$

Church-Rosser Theorem

If $\lambda\text{-exp}_1 \leftrightarrow \lambda\text{-exp}_2$ then there exists $\lambda\text{-exp}$ such that

$$\lambda\text{-exp}_1 \leftrightarrow \lambda\text{-exp}$$

$$\lambda\text{-exp}_2 \leftrightarrow \lambda\text{-exp}$$

If $\lambda\text{-exp}_1 \leftrightarrow \lambda\text{-exp}_2$ and $\lambda\text{-exp}_2$ is in normal form then there exist a normal form reduction $\lambda\text{-exp}_1 \rightarrow \lambda\text{-exp}_2$

how does it work for numbers?

$\lambda f. \lambda x. x$	zero
$\lambda f. \lambda x. f x$	one
$\lambda f. \lambda x. f (f x)$	two
$\lambda f. \lambda x. f (f (f x))$	three
.....

how many times f is applied to x

↑
Church numerals

successor $\text{succ} = \lambda n. \lambda f. \lambda x. (f ((n f) x))$

$\text{succ zero} = \lambda n. \lambda f. \lambda x. (f ((n f) x)) (\lambda f. \lambda x. x)$

$$\rightarrow \lambda f. \lambda x. (f (\lambda f. \lambda x. x f) x)$$

$$\rightarrow \lambda f. \lambda x. (f (\lambda g. \lambda y. y g) x)$$

$$\rightarrow \lambda f. \lambda x. (f (\lambda y. y) x)$$

$$\rightarrow \lambda f. \lambda x. (f x) \rightarrow \text{one}$$

- **add** = $\lambda m.\lambda n.\lambda f.\lambda x.(((m \text{ succ}) n) f) x f ((n f) x)$
 = $\lambda m.\lambda n.\lambda f.\lambda x.m f (n f x)$
- **mult** = $\lambda m.\lambda n.\lambda f.m f (n f)$
- **exp** = $\lambda m.\lambda n.(m n)$

... and for booleans?

- IDENTITY $\lambda x. x$
- True $\lambda x. \lambda y. x$
- False $\lambda x. \lambda y. y$
- NOT $\lambda x. (x F) T$
- AND $\lambda x. \lambda y ((x y) F)$
- OR $\lambda x. \lambda y ((x T) y)$

if then ... else?

- **if True B C** \rightarrow B (1)
if False B C \rightarrow C (2)

suppose we take $\lambda x. x$ for **if**

then (1) becomes

$$(\lambda x. x) (\lambda x. \lambda y. x) B C \rightarrow (\lambda x. \lambda y. x) B C \rightarrow (\lambda y. B) C \rightarrow B$$

and (2) becomes

$$(\lambda x. x) (\lambda x. \lambda y. y) B C \rightarrow (\lambda x. \lambda y. y) B C \rightarrow (\lambda y. C) \rightarrow C$$

... recursion?

- let $Y \equiv \lambda f. (\lambda x. f (x x)) ((\lambda x. f (x x)) R)$ recursion combinator

$$\begin{aligned} YR &\equiv \lambda f. (\lambda x. f (x x)) ((\lambda x. f (x x)) R) \\ &\rightarrow (\lambda x. R (x x)) ((\lambda x. R (x x)) R) \\ &\rightarrow R (\lambda x. R (x x)) ((\lambda x. R (x x)) R) \\ &\rightarrow \dots \end{aligned}$$

keeps generating R's

a function that calls
(a function) f and
regenerates itself

$$YR \equiv R (YR)$$

λ -calculus

- ➔ processing functions by manipulating their **abstractions** using **application** and formal **conversion rules**

- ➔ everything in the computation process is represented by **functions**; there are no other objects or **types** (bool, int, chars, ...); if they are needed they must be represented via functions

- ➔ analysis of functions
 - without having to name them
 - seeing their abstractions at all times
 - being free from their intuitive properties

Church Thesis every intuitively computable function is λ -definable

- **Turing machine**
- **μ -recursive functions** (Gödel)
- **λ -calculus** (Church)
- **formal grammars** (Post)
- **combinatory logic** (Schönfinkel, Curry)

are computationally equivalent

normal order β -reduction models lazy evaluation for functional languages